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| TRANSMITTAL LETTER | | | Case No. 659/776 |
|--|----------------------------------|--------------------------|------------------------|
| Serial No. 10/036,863 | Filing Date December 21, 2001 | Examiner not assigned | Group Art Unit 3653 |
| Inventor(s) Gerald K. Sosalla et al. | | | |
| Title of Invention Continuous Motion Coreless Roll Winder | | | |

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Notice to File Missing Parts, Response to Notice to File Missing Parts, Declaration, Power of Attorney, two Assignment recordal sheets, two Assignments, two checks for \$40 and return postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☒ Petition for a one month extension of time and check for \$110.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

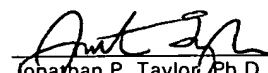
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra |
|---|----------------------------------|-------|---------------------------------|---------------|
| Total | | Minus | | |
| Indep. | | Minus | | |
| First Presentation of Multiple Dep. Claim | | | | |

| Small Entity | |
|-----------------|-----------|
| Rate | Add'l Fee |
| x \$9 = | |
| x 42 = | |
| + \$140 = | |
| Total add'l fee | \$ |

| Other Than Small Entity | |
|-------------------------|-----------|
| Rate | Add'l Fee |
| x \$18 = | |
| x \$84 = | |
| + \$280 = | |
| Total add'l fee | \$ |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$740 to cover the filing fee and \$130 check for surcharge is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


Jonathan P. Taylor, Ph.D.
Registration No. 48,338
Agent for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 5, 2002.

Date: 4/5/02

Signature: 

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231
on April 5, 2002

Date of Deposit

Jonathan P. Taylor, Ph.D. Reg. No. 48,338

Name of applicant, assignee or
Registered Representative

Signature

4/5/02
Date of Signature



139

Case No. 659/776

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gerald K. Sosalla et al.

Serial No.: 10/036,863

Filed: December 21, 2001

For: CONTINUOUS MOTION
CORELESS ROLL WINDER

Attention: Manager,
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Commissioner for Patents
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

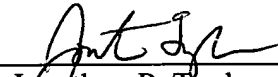
In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated January 29, 2002, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:
 - ☐ a small entity, verified statement is:

- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$870 for:
- ☒ Filing Fee of \$740.
- ☒ Surcharge of \$130.
- ☐ Additional Claim Fees of \$____.
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) for one (1) month to file missing parts (in duplicate).
- ☒ Other: Two (2) Assignments with Assignment recordation requests; two (2) checks for \$40; return post card.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: April 5, 2002


Jonathan P. Taylor, Ph.D.
Registration No. 48,338
Agent for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200

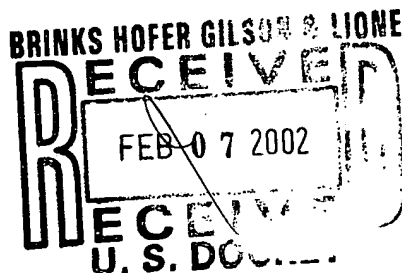


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/036,863 | 12/21/2001 | Gerald K. Sosalla | 659/776 |

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610



CONFIRMATION NO. 4027

FORMALITIES LETTER



OC000000007386850

Date Mailed: 01/29/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

Deedee Washfor

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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01 FC:101
02 FC:105740.00 OP
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